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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/693,577
	Filing Date	October 23, 2003
	First Named Inventor	Lilip Lau
	Art Unit	Unassigned
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	PARCR 65971

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	January 28, 2004

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This collection of information is required by 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/693,577  
Applicant : Lilip Lau, William M. Hartigan  
Filed : October 23, 2003  
Art Unit : Unassigned  
Examiner : Unassigned  
Title : EXPANDABLE CARDIAC HARNESS FOR TREATING  
HEART FAILURE

Docket No.: : PARCR 65971  
Customer No. : 24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). Enclosed is a copy of two Information Disclosure Statements (each with Form PTO/SB/08A) and a Notice of References Cited (Form PTO-892) as filed in the parent application, U.S. Serial No. 09/952,116 now U.S. Patent No. 6,663,558. It is believed that these references will be helpful during examination of the application.

This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of the documents listed on the


Information Disclosure Statement by Applicant, Forms PTO/SB/08A can be found in U.S. Serial No. 09/634,043.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

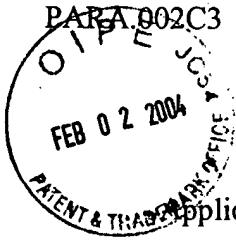
  
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39330.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant	:	Lau, et al.	)	Group Art Unit Unknown
			)	
App. No.	:	Unknown	)	
			)	
Filed	:	Herewith	)	
			)	
For	:	EXPANDABLE CARDIAC	)	
		HARNESS FOR TREATING	)	
		CONGESTIVE HEART	)	
		FAILURE	)	
			)	
Examiner	:	Unknown	)	
			)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

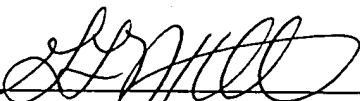
Dear Sir:

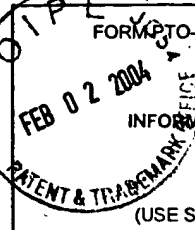
Enclosed is form PTO-1449 listing seventy-two references, none of which are enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

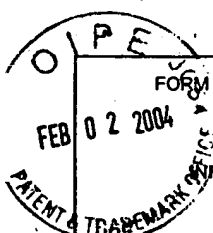
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 FORMPTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
	APPLICANT Lilip Lau, et al.	
	FILING DATE Herewith	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4,536,893	08-27-1985	Parravicini			
	2.	4,936,857	06-26-1990	Kulik			
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	5.	5,256,132	10-26-1993	Snyders			
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	16.	5,976,069	11-02-1999	Navia, et al.			
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	26.	6,183,411 B1	02/06/2001	Mortier, et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	



FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. PARA.002C3		APPLICATION NO. Unknown	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)				APPLICANT Lilip Lau, et al.			
				FILING DATE Herewith		GROUP ART UNIT Unknown	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	27.	6,076,013	06/13/2000	Brennan, et al.			
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	45.	US 6,264,602 B1	07/24/2001	Mortier, et al.			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	46.	FR 2,527,435	02-02-1983	France				
	47.	WO 98/03213	01-28-1999	PCT				
	48.	WO 98/58598	12-30-1998	Germany				
	49.	WO 99/30647	06-24-1999	PCT				
	50.	WO 00/02500	01-20-2000	PCT				

EXAMINER

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
	APPLICANT Lilip Lau, et al.	
	FILING DATE Herewith	GROUP ART UNIT Unknown

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	51.	WO 00/06026	02-10-2000	PCT				
	52.	WO 00/06027	02-10-2000	PCT				
	53.	WO 00/06028	02-10-2000	PCT				
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	56.	WO 01/50981 A1	07/19/2001	WIPO				

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	57.	Alain Carpentier, M.D., Ph.D., et al, <i>Dynamic Cardiomyoplasty at Seven Years</i> , <u>The Journal of Thoracic and Cardiovascular Surgery</u> , Volume 106, Number 1.
	58.	Eli R. Capouya, M.D., et al., <i>Girdling Effect of Nonstimulated Cardiomyoplasty on left Ventricular Function</i> , <u>The Society of Thoracic Surgeons</u> , 1993;56:867-71.
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	60.	Joong Hwan Oh, M.D., et al, <i>Mechanisms of Dynamic Cardiomyoplasty: Current Concepts</i> , <u>J. Card Surg</u> , 1996: 11:194-199.
	61.	Howard R. Levin, M.D., et al., <i>Reversal of Chronic Ventricular Dilation in Patients With End-Stage Cardiomyopathy by Prolonged Mechanical Unloading</i> , <u>Circulation</u> , Volume 91, No. 11, June 1995.
	62.	David A. Kass, M.D., et al., <i>Reverse Remodeling From Cardiomyoplasty in Human Heart Failure</i> , <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
	63.	Vinay Badhwar, et al., <i>Power Generation From Four Skeletal Muscle Configurations, Design Implications for a Muscle Powered Cardiac Assist Device</i> , <u>ASAIO Journal</u> , 1997: 43: M651-M657.
	64.	Ray C.-J. Chiu, <i>Using Skeletal Muscle for Cardiac Assistance</i> , <u>Scientific American</u> , November/December 1994.
	65.	CARDIAC BINDING IN EXPERIMENTAL HEART FAILURE, Mikhail Vaynblat, MD, et al., 1997 by <u>The Society of Thoracic Surgeons</u> , Pages 81-85.
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	67.	PASSIVE VENTRICULAR CONSTRAINT AMENDS THE COURSE OF HEART FAILURE: A STUDY IN AN OVINE MODEL OF DILATED CARDIOMYOPATHY, J.M. Power, et al., <u>Cardiovascular Research</u> 44 (1999) 549-555.
	68.	GIRDLING EFFECT ON NONSTIMULATED CARDIOMYOPLASTY ON LEFT VENTRICULAR FUNCTION, Eli R. Capouya, M.D., et al, 1993 by <u>the Society of Thoracic Surgeons</u> , Pages 867-871.
	69.	PASSIVE EPICARDIAL CONTAINMENT PREVENTS VENTRICULAR REMODELING IN HEART FAILURE, Pervatz A. Chaudry, M.D., et al, 2000 by <u>The Society of Thoracic Surgeons</u> , Pages 1275-1280.
	70.	PASSIVE VENTRICULAR CONSTRAINT FOR THE TREATMENT OF CONGESTIVE HEART FAILURE, Mehmet C. Oz, MD, 2001 by <u>The Society of Thoracic Surgeons</u> , Pages S185-187.
	71.	VENTRICULAR CONTAINMENT AS AN ADJUNCTIVE PROCEDURE IN ISCHEMIC CARDIOMYOPATHY: EARLY RESULTS, Jai S. Raman, et al., <u>Ann (Thorac Surg)</u> 2000;70:1124-6).
	72.	CARDIOTHORACIC SURGERY, <u>SURGICAL FORUM</u> , Pages 146-148

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